



# **A Generic Method for Retrieval of Aerosol over Land from Passive Optical Remote Sensing Data**

**Eric Vermote**

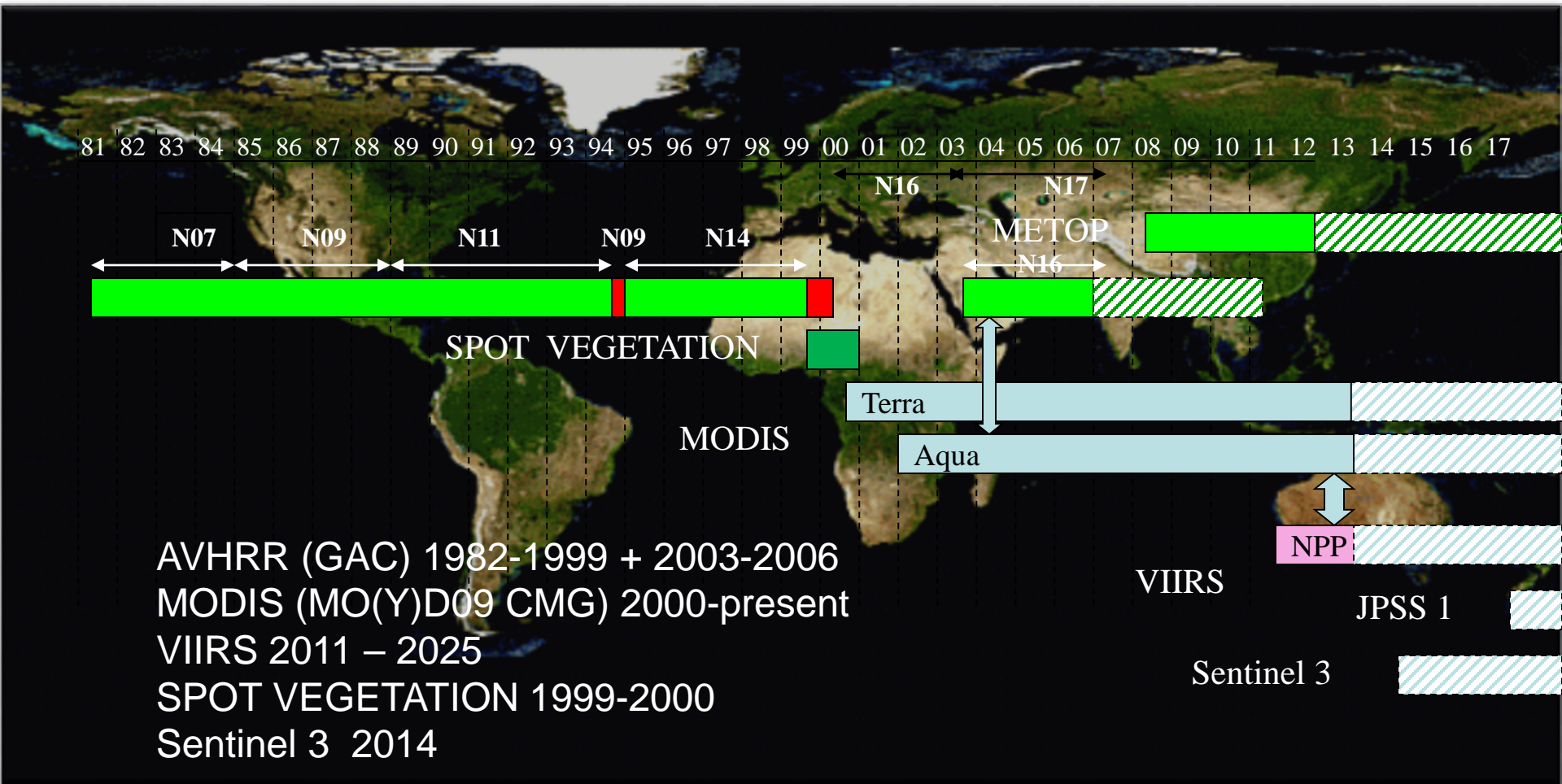
NASA Goddard Space Flight Center Code 619

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# A Land Climate Data Record

Multi instrument/Multi sensor Science Quality Data Records used to quantify trends and changes



*Emphasis on data consistency – characterization rather than degrading/smoothing the data*

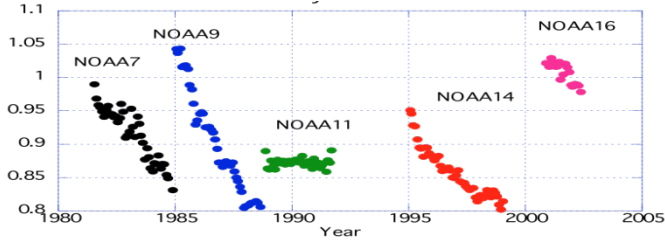


# Land Climate Data Record (Approach)

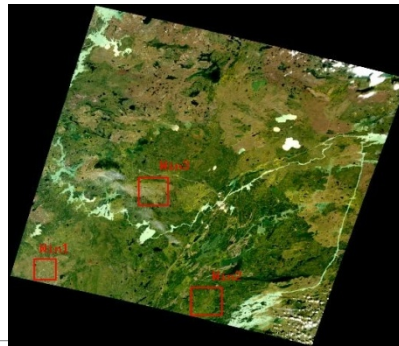
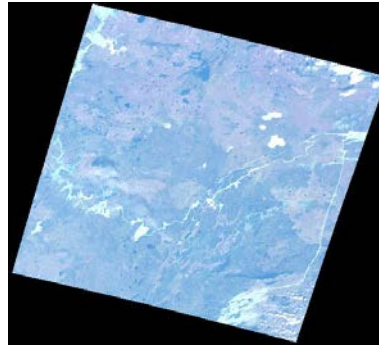
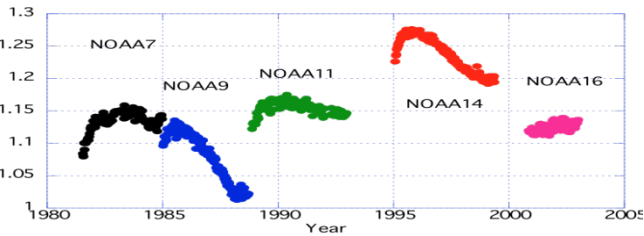
*Needs to address geolocation, calibration, atmospheric/BRDF correction issues*

## CALIBRATION

Degradation in channel 1  
(from Ocean observations)

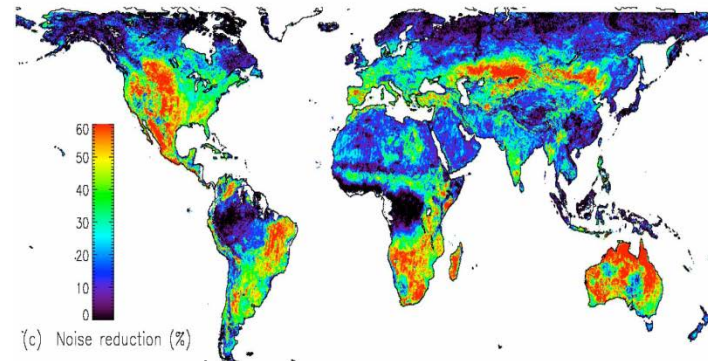
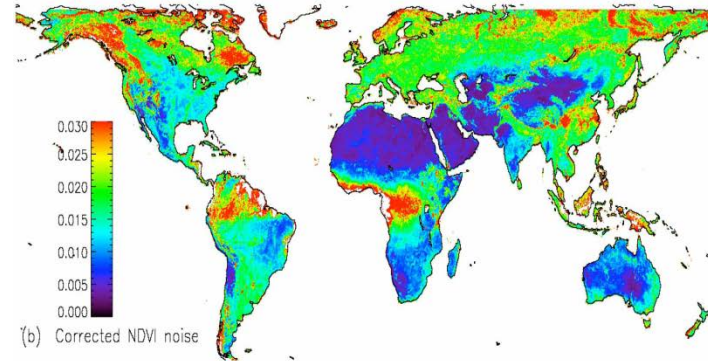
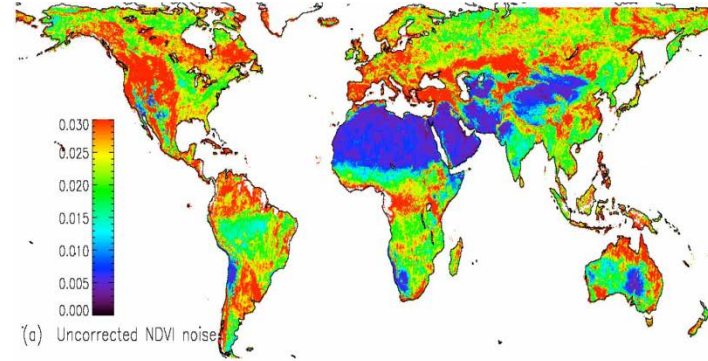
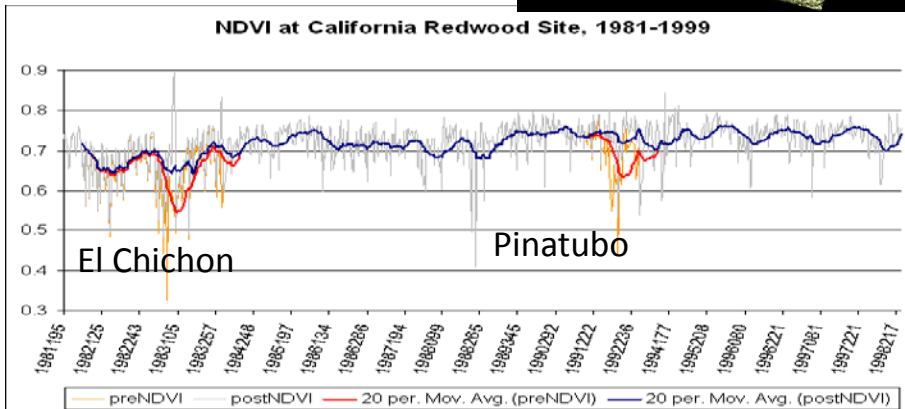


Channel1/Channel2 ratio  
(from Clouds observations)



## ATMOSPHERIC CORRECTION

## BRDF CORRECTION





# Generic Surface reflectance algorithm

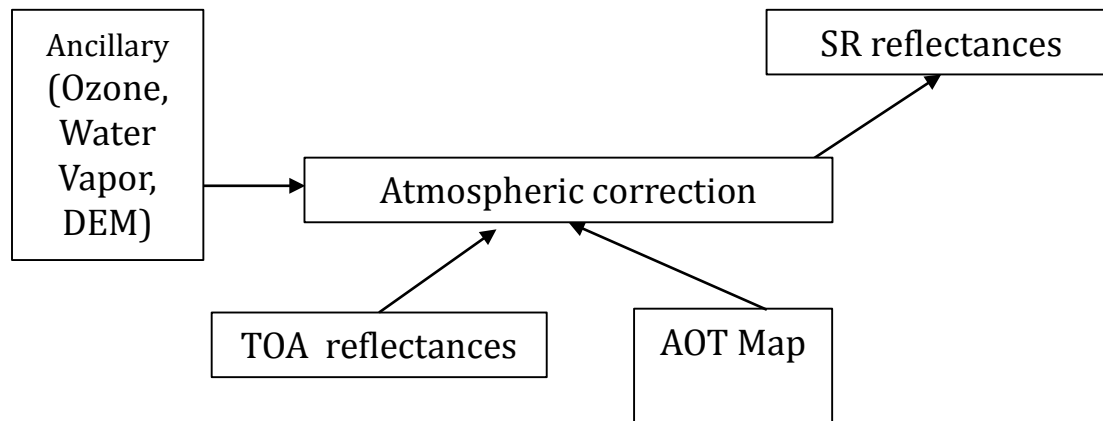
The Surface reflectance algorithm relies on

- the use of very accurate (better than 1%) vector radiative transfer modeling of the coupled atmosphere-surface system
- the inversion of key atmospheric parameters (aerosol, water vapor)

**Home page:** <http://modis-sr.ltdri.org>



# Generic flowchart for atmospheric correction







# Generic Aerosol inversion

Reading Inputs, LUT and Ancillary data

$\rho_{surf}$  determined (\*) using  $\rho_{atm}$ ,  $T_{atm}$  and  $S_{atm}$  from LUT assuming AOT, Aerosol model and knowing pressure, altitude, water vapor, ozone...

Using an assumed relationship between the blue surface reflectance (~450nm) and the red surface reflectance (~650nm) and fixing the aerosol model we are able to retrieve the AOT.

We loop the AOT until  $(\rho_{surf} \text{ blue} / \rho_{surf} \text{ red})_{derived} = (\rho_{surf} \text{ blue} / \rho_{surf} \text{ red})_{assumed}$

The retrieved AOT is used to compute the surface reflectance at other wavelengths in the blue and SWIR to make a more robust inversion and refine the aerosol model. by minimizing the residual.

$$residual = \frac{\sum_{i=1}^2 (\rho_{surf}^i - Ratio_{665}^i * \rho_{surf}^{665})}{2}$$

Aerosol Opt. Thick. and Aerosol model for each pixel

Surface reflectance for each pixel and each band

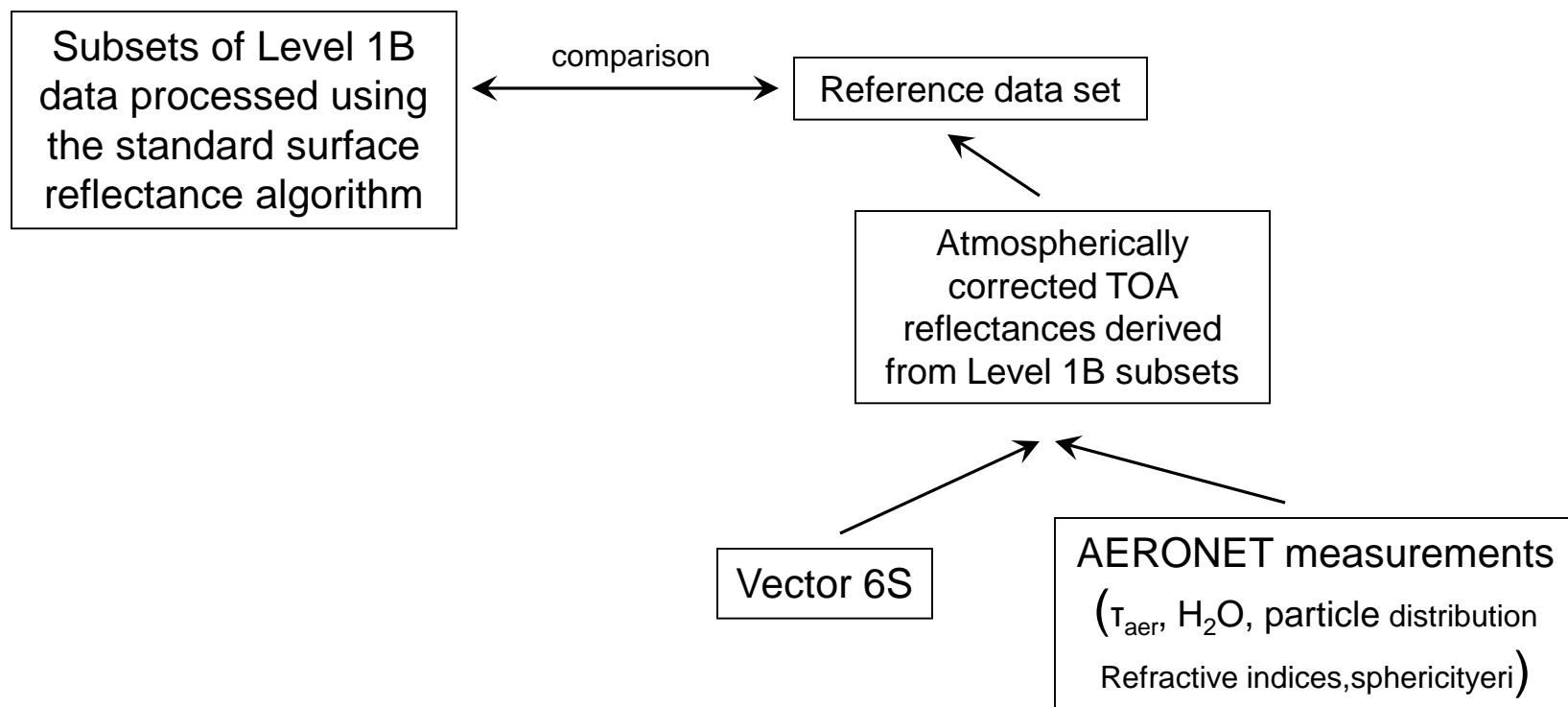
Computation of surface reflectances for all channels

$\rho_{surf}$  determined (\*) using  $\rho_{atm}$ ,  $T_{atm}$  and  $S_{atm}$  from LUT knowing AOT, Aerosol model, pressure, altitude, water vapor, ozone...

$$(*) \rho_{surf} = \frac{Y}{1 + S_{atm} \cdot Y} \quad \text{with} \quad Y = \frac{1}{T_{atm} \cdot tg^{wv}} \left[ \left( \frac{\rho_{TOA}}{tg^{O3} \cdot tg^{others}} \right) - (\rho_{atm} - \rho_{ray}) \cdot tg^{wv/2} - \rho_{ray} \right]$$



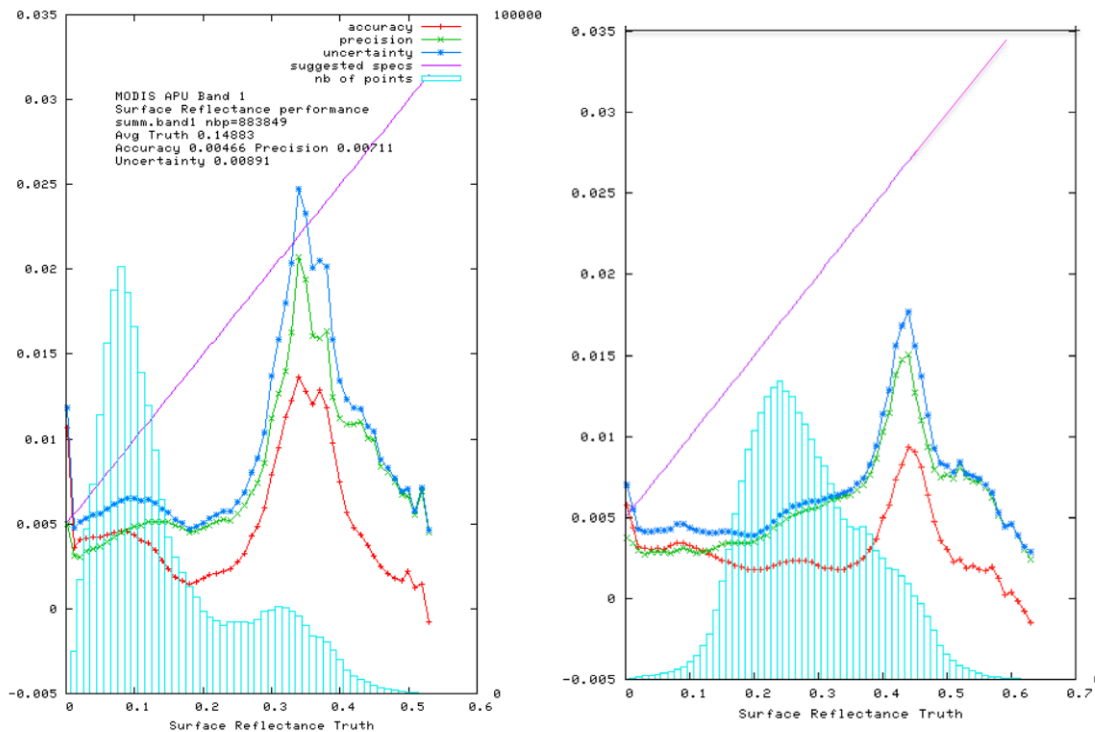
# Methodology for evaluating the performance of surface reflectance



[http://mod09val.ltdri.org/cgi-bin/mod09\\_c005\\_public\\_allsites\\_onecollection.cgi](http://mod09val.ltdri.org/cgi-bin/mod09_c005_public_allsites_onecollection.cgi)



# quantitative assessment of performances (APU) for MODIS (Collection 5: Fixed ratio blue/red)

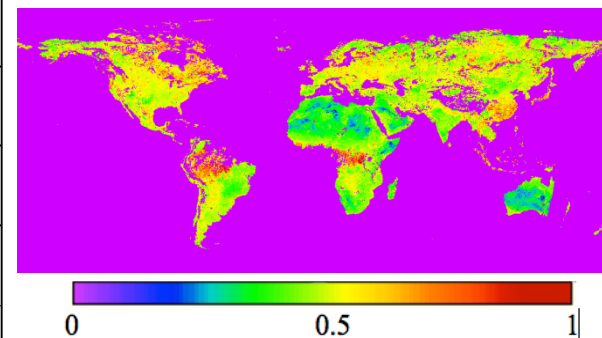
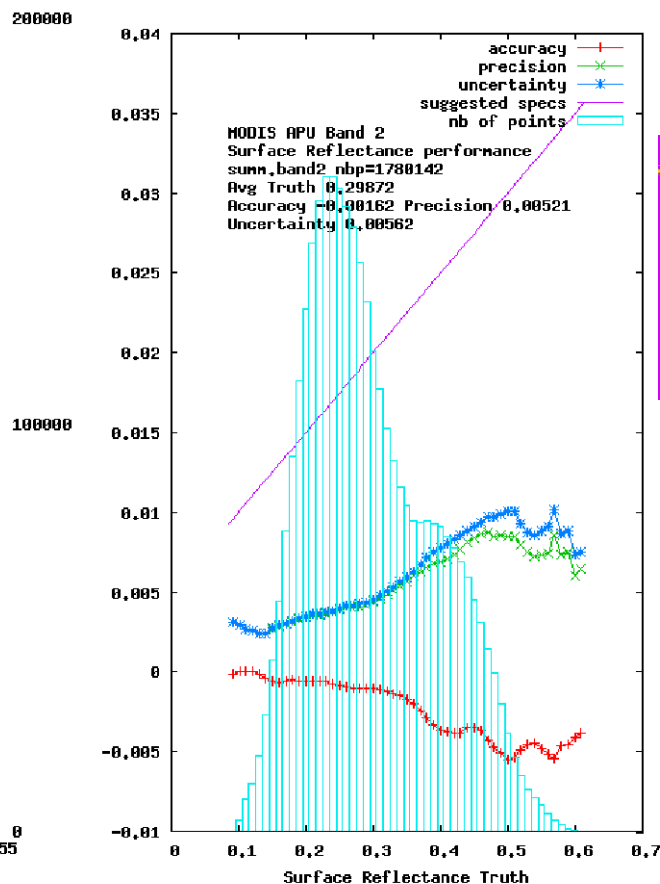
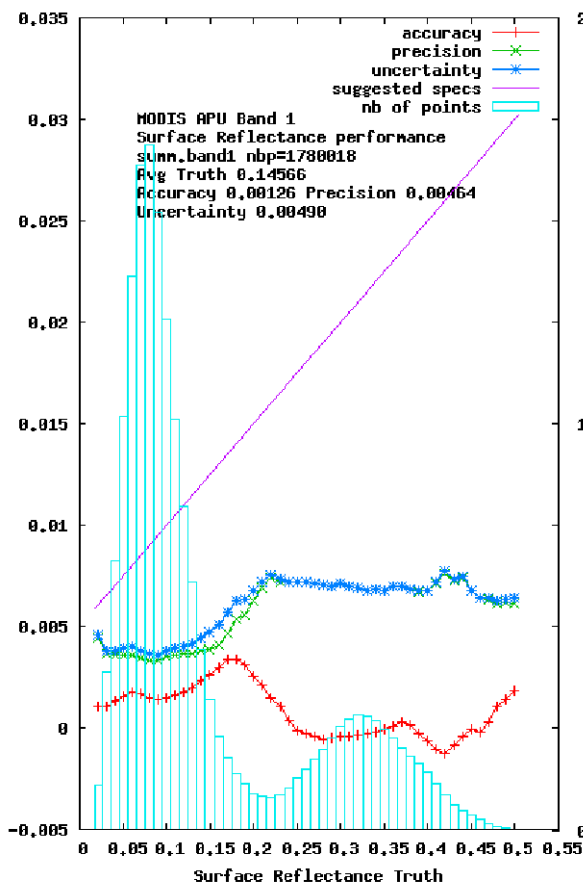


**COLLECTION 5:** accuracy or mean bias (red line), Precision or repeatability (green line) and Uncertainty or quadratic sum of Accuracy and Precision (blue line) of the surface reflectance in band 1 in the Red (top left), band 2 in the Near Infrared (top right also shown is the uncertainty specification (the line in magenta), that was derived from the theoretical error budget. Data collected from Terra over 200 AERONET sites from 2000 to 2009.





# Improving the aerosol retrieval in collection 6 reflected in APU metrics

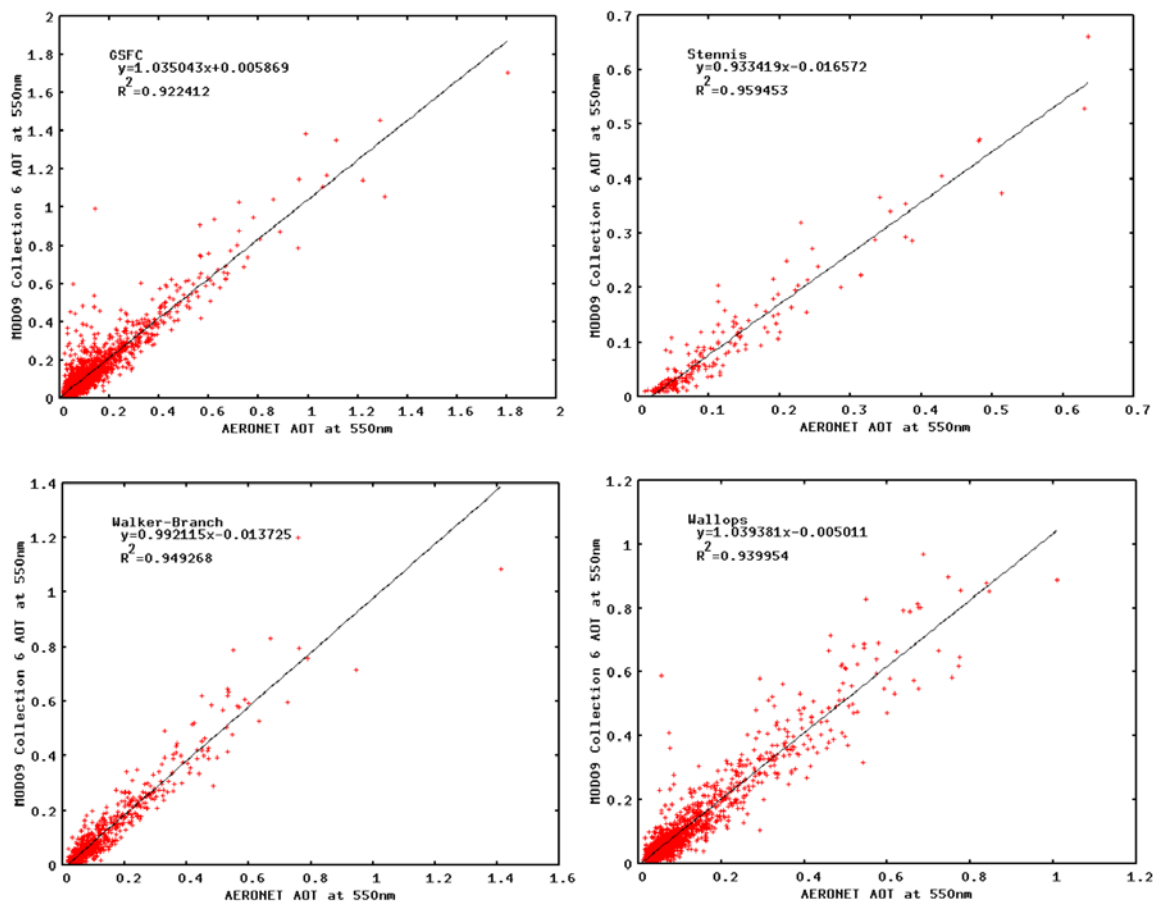


ratio blue/red derived using  
MODIS top of the  
atmosphere corrected with  
MISR aerosol optical depth

**COLLECTION 6:** accuracy or mean bias (red line), Precision or repeatability (green line) and Uncertainty or quadratic sum of Accuracy and Precision (blue line) of the surface reflectance in band 1 in the Red (top left), band 2 in the Near Infrared (top right also shown is the uncertainty specification (the line in magenta), that was derived from the theoretical error budget. Data collected from Terra over 200 AERONET sites for the whole Terra mission.



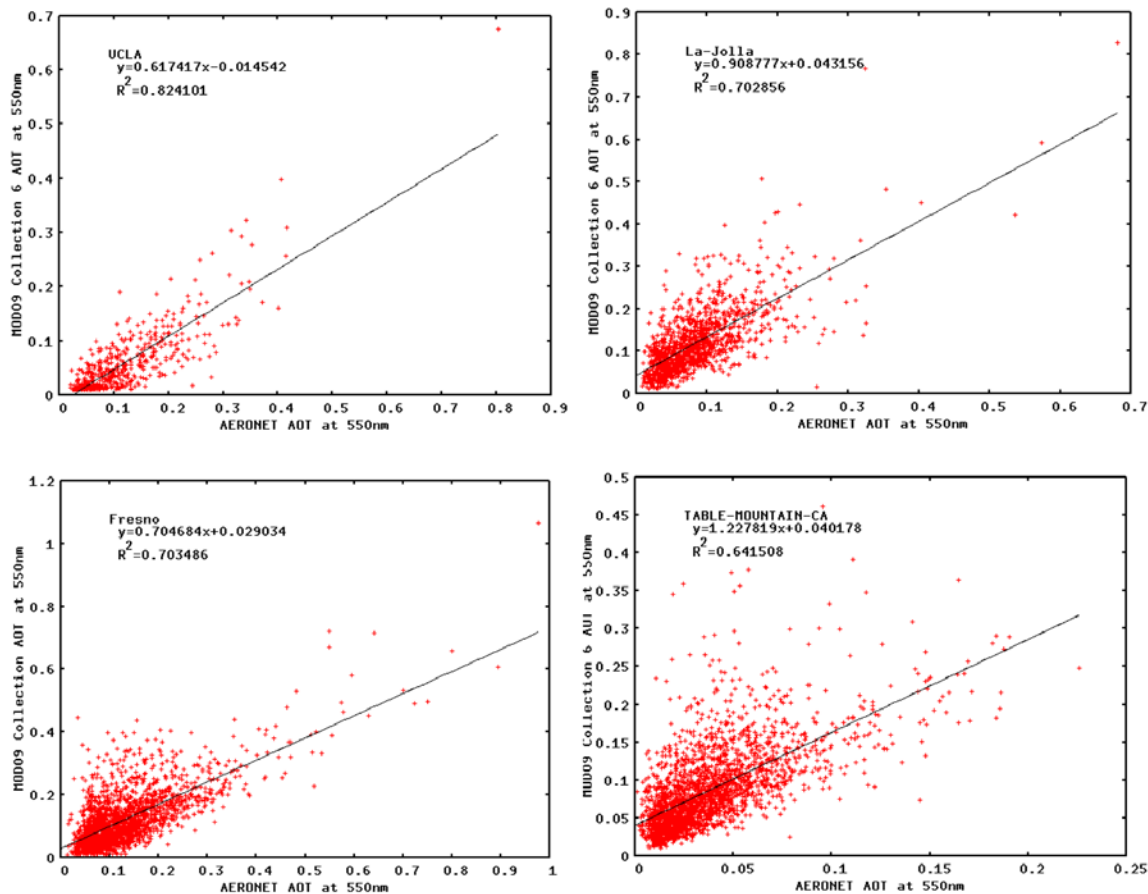
# Aerosol retrieval also shows improvement



Scatterplot of the MOD09 AOT at 550nm versus the AERONET measured AOT at 550nm for East Coast sites selection: GSFC (top left), Stennis (top right), Walker Branch (bottom left) and Wallops (bottom right).



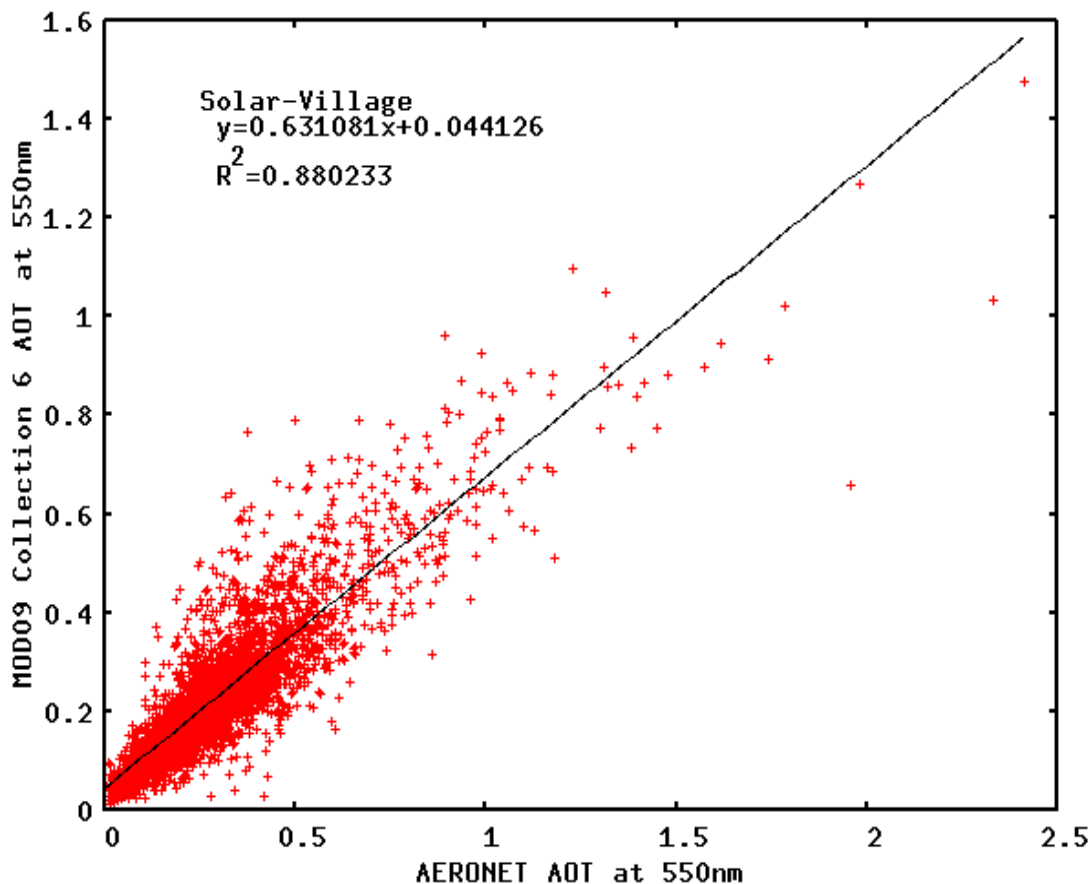
# Aerosol retrieval also shows improvement



Scatterplot of the MOD09 AOT at 550nm versus the AERONET measured AOT at 550nm for the West Coast sites selection: UCLA (top left), La Jolla (top right), and Fresno (bottom left) and Table Mountain (bottom right).



# Aerosol retrieval also shows improvement



Scatterplot of the MOD09 AOT at 550nm versus the AERONET measured AOT at 550nm for for a very bright site in Saudi Arabia (Solar Village)



# This Surface Reflectance is applied to Landsat8/OLI and Sentinel 2

**Algorithm reference for L8:** Vermote E.F., Justice C., Claverie M. and Franch B., “Preliminary analysis of the performance of the Landsat 8/OLI land surface reflectance product” Remote Sensing of Environment. In Press.

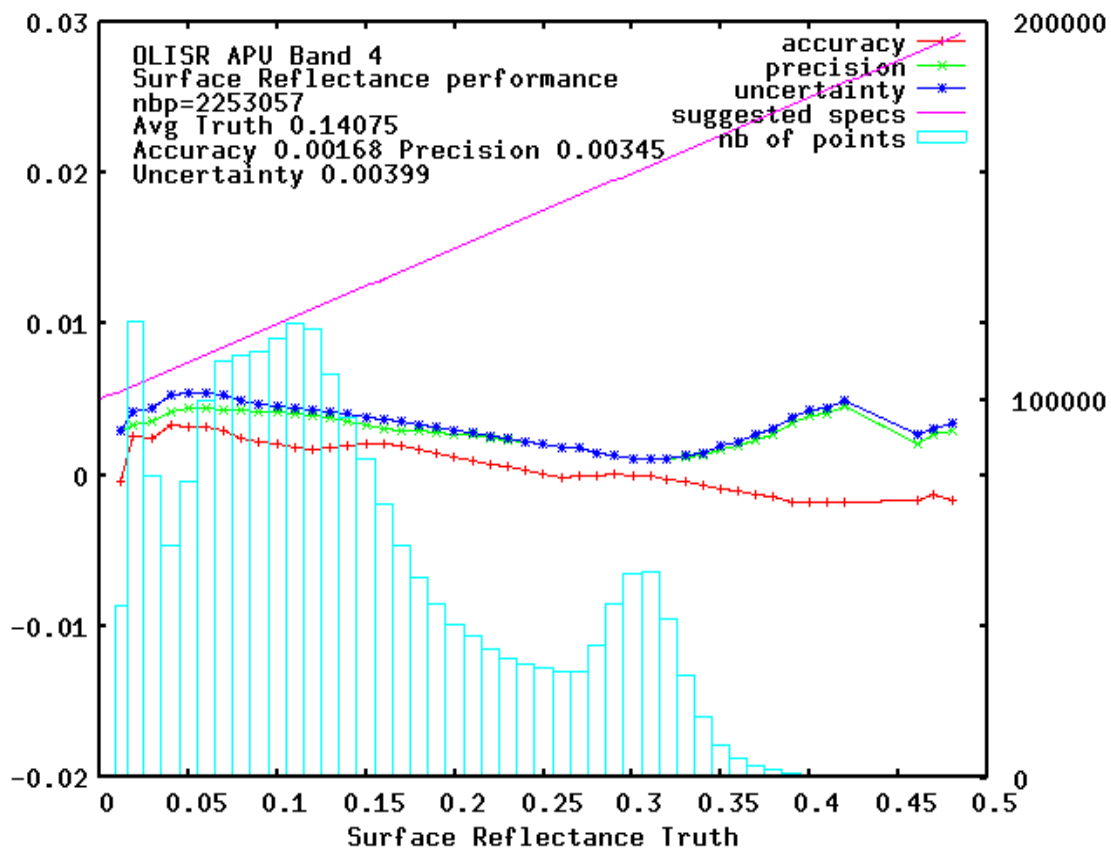
The MODIS **Collection 6 AC algorithm** relies on

- the use of very accurate (better than 1%) vector radiative transfer modeling of the coupled atmosphere-surface system (6S)
- the inversion of key atmospheric parameters
  - ***Aerosols are retrieved from OLI/Sentinel 2 images***
  - ***Water vapor and ozone from daily MODIS product.***

**Home page:** <http://modis-sr.ltdri.org>



# Evaluation of the performance of Landsat8



The “preliminary” analysis of OLI SR performance in the red band over AERONET is very similar to MODIS Collection 6





# This is confirmed by comparison with MODIS

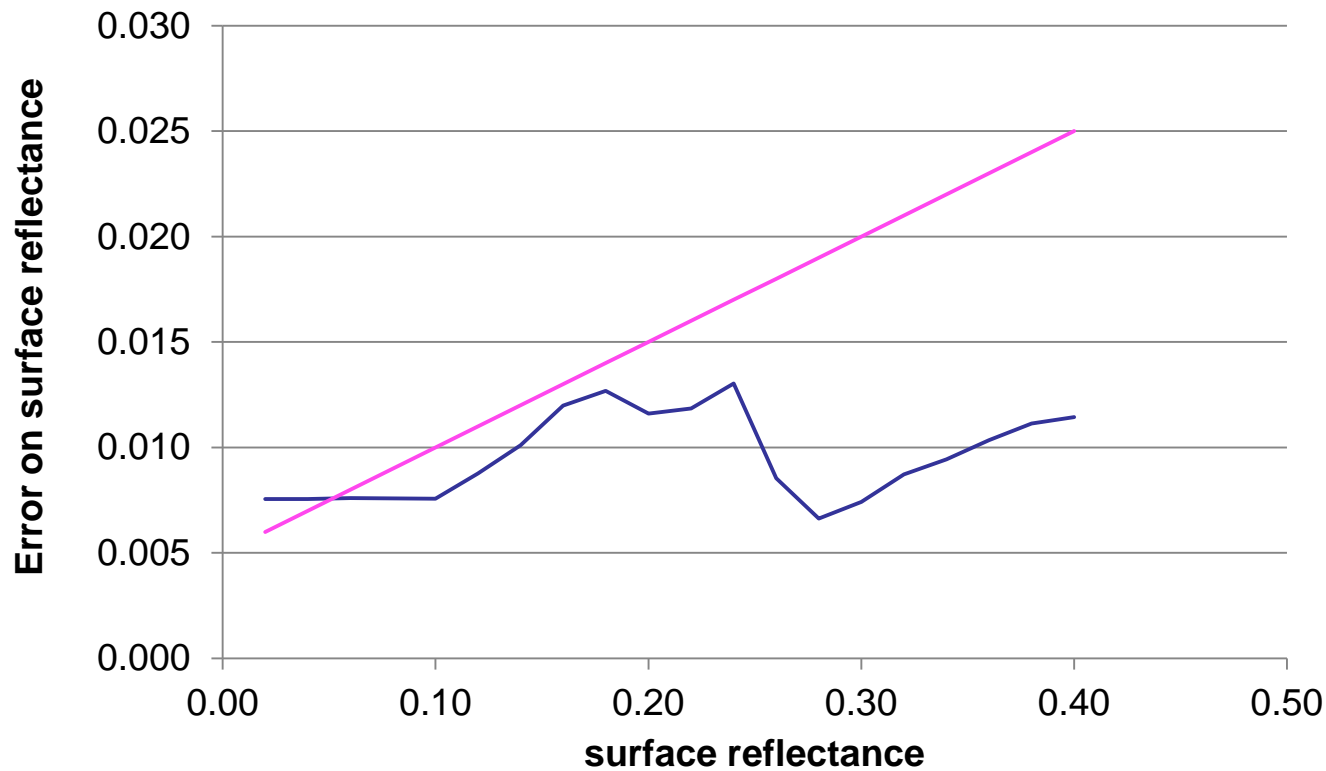
OLI Band	TM LEDAPS (Claverie et al., 2015)			ETM+ LEDAPS (Claverie et al., 2015)			OLI (Vermote et al., 2016)		
	A	P	U	A	P	U	A	P	U
2	7	9	<b>11</b>	9	7	<b>12</b>	2	6	<b>6</b>
3	1	9	<b>9</b>	6	9	<b>11</b>	3	6	<b>7</b>
4	9	10	<b>14</b>	1	9	<b>9</b>	1	6	<b>6</b>
5	5	17	<b>17</b>	3	14	<b>15</b>	2	12	<b>12</b>
7	1	14	<b>14</b>	5	15	<b>16</b>	9	11	<b>14</b>

OLI surface reflectance APU scores expressed in  $10^{-3}$  reflectance (compared to TM and ETM+ surface reflectance APU by Claverie et al. (2015) using Aqua MODIS BRDF and spectrally adjusted surface reflectance CMG product as reference, the OLI surface reflectance was aggregated over the CMG. Band number corresponds to OLI band number designation and equivalent TM/ETM+ bands were reported.



# Sentinel 2 SR “validation” (6 scenes only)

**For the Red channel (Band 4 @ 665nm)**





# YIELD MONITORING

**Basis: Strong correlation between NDVI Peak and yield**

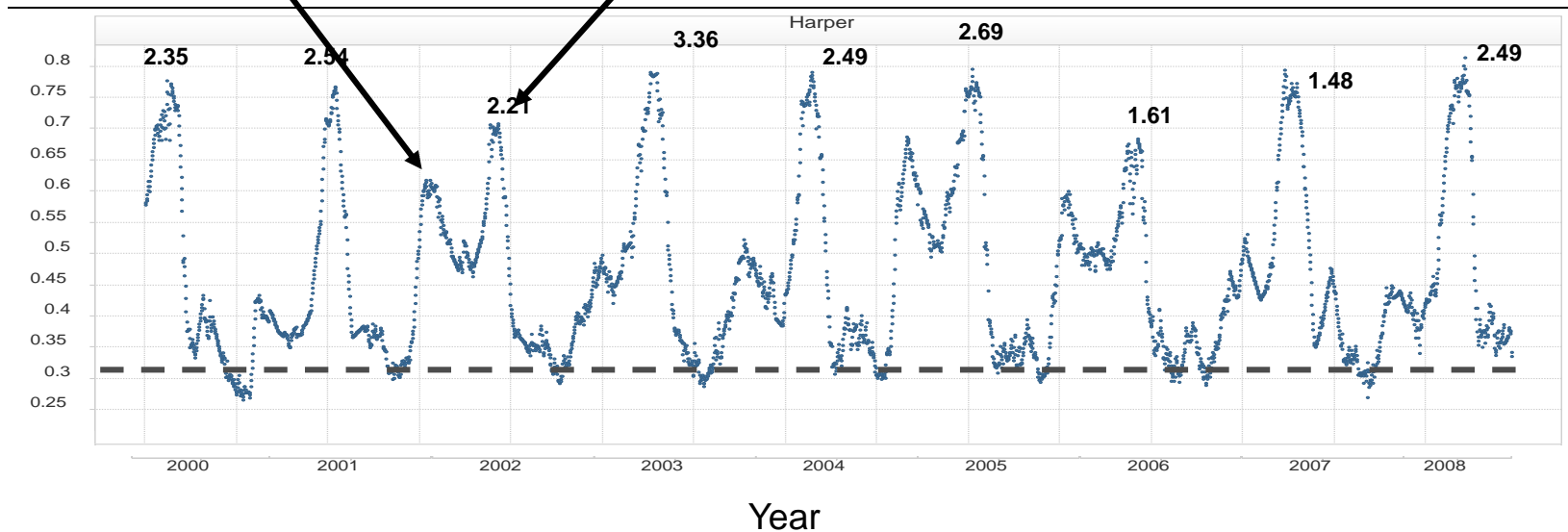
Tucker 1980, Hatfield 1983, Benedetti 1993, Doraiswamy 1995, Rasmussen 1997, Mika 2002

Daily Normalized Difference Vegetation Index (NDVI from MODIS) 2000-2008, Harper County  
Numbers are Yield (MT/Ha)

## Kansas

Winter Wheat emergence  
NDVI peak

Winter Wheat seasonal  
NDVI peak

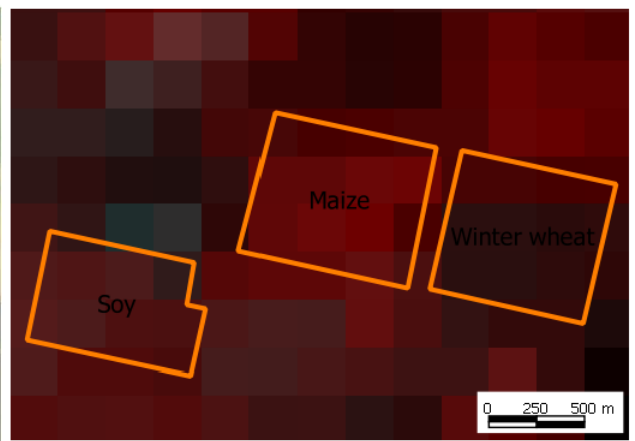
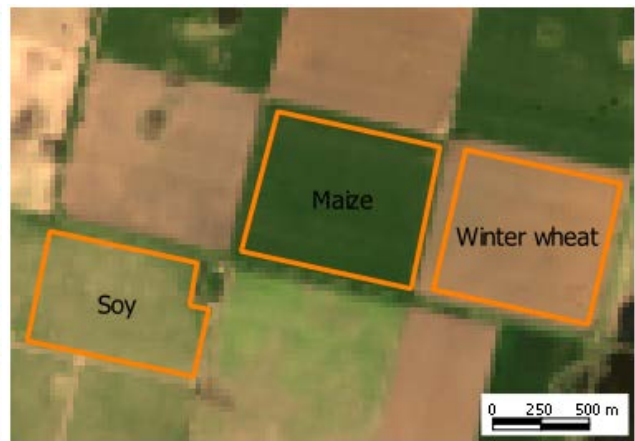


Becker-Reshef I, Vermote E, Lindeman M, Justice C. 2010. In Remote Sensing of Environment, 114, 1312–1323.



# Temporal information is now available at the field level

NDVI aggregated at field level (Argentina, S2A tile 20HNNH).



Sentinel-2A image acquired on 04-Dec-15, 10m, true color B04-03-02 (SR, scaled 0-0.15)

Landsat-8 image acquired on 04-Dec-15, 30m, true color B4-3-2 (SR, scaled 0-0.15)

MOD09GQ image acquired on 04-Dec-15, 250m, false color B2-1-1, SR



Sentinel-2A image acquired on 23-Jan-16, 10m, true color B04-03-02 (SR, scaled 0-0.15)

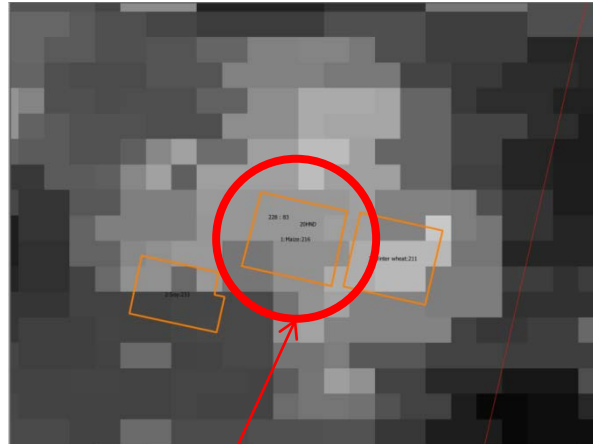
Landsat-8 image acquired on 21-Jan-16, 30m, true color B4-3-2 (SR, scaled 0-0.15)

# Temporal information is now available at the field level

NDVI aggregated at field level (Argentina, S2A tile 20HNNH).



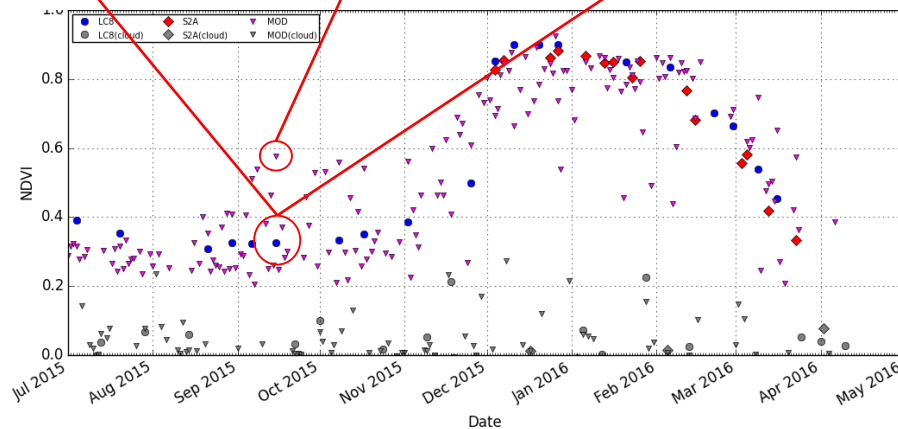
LC8 acquired on **15-Sep-2015** (30m). SR NIR band scaled 0.05-0.55



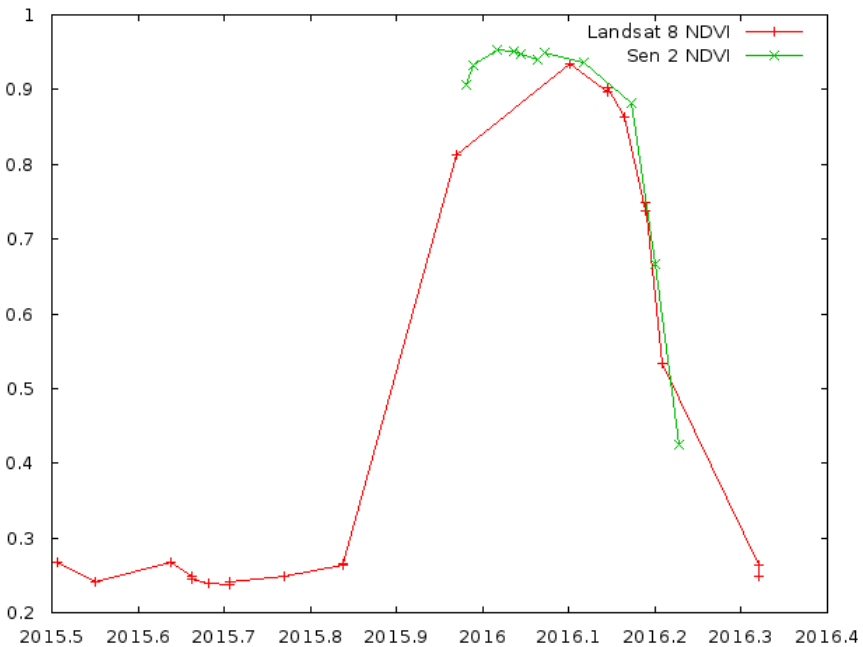
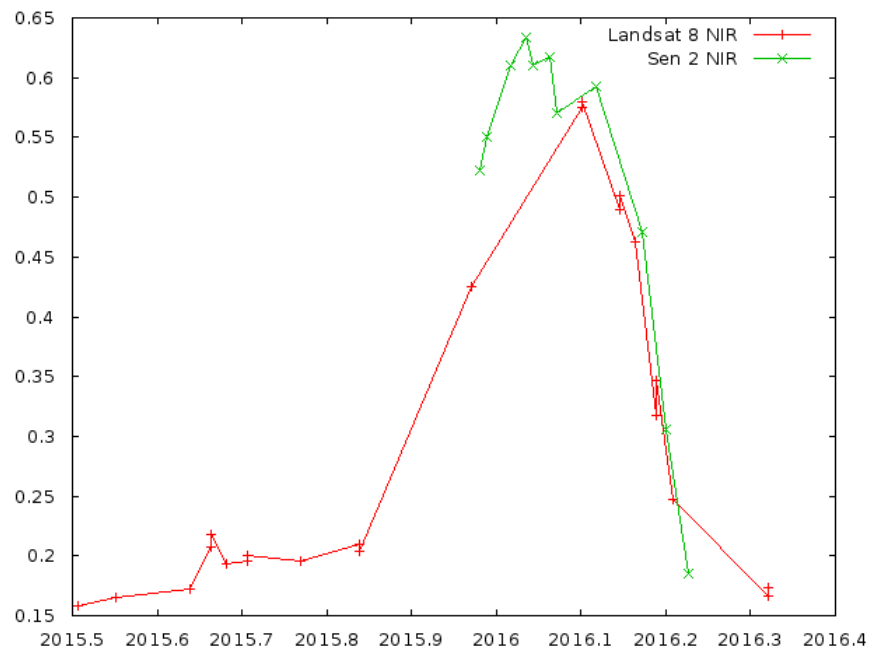
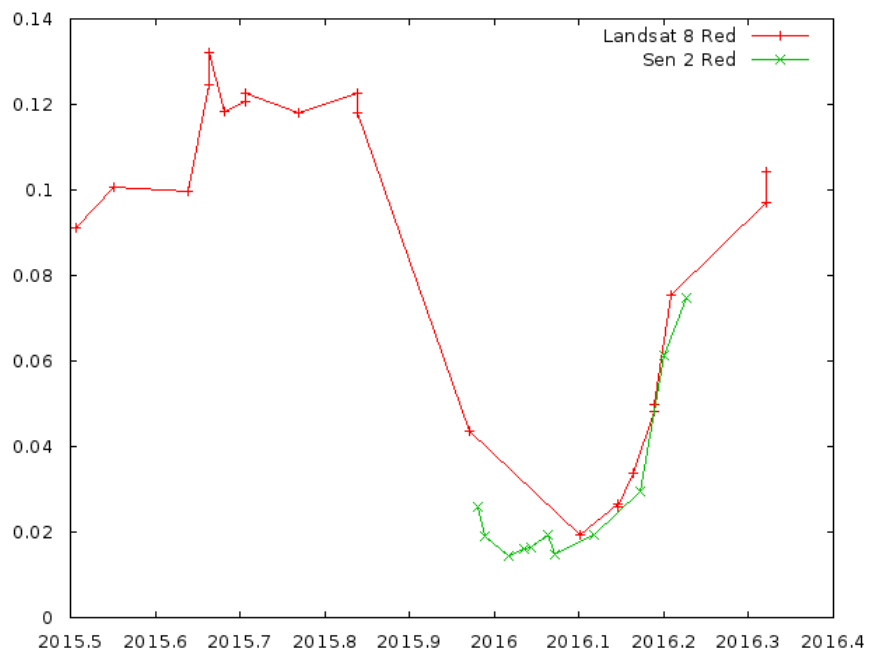
MODIS/Terra (MOD09GQ) acquired on **15-Sep-2015** (250 m). SR NIR band scaled 0.05-0.55



MODIS/Terra (MOD09GQ) acquired on **16-Sep-2015** (250 m). SR NIR band scaled 0.05-0.55



Maize







# ACIX: CEOS-WGCV Atmospheric Correction Inter-comparison Exercise (ESA/NASA/UMD)

The exercise aims to bring together available AC processors (**actually 14 processors including SEN2COR, MACCS, L8-S2-6SAC, ...**) to generate the corresponding SR products.

The input data will be **Landsat-8 and Sentinel-2 imagery** of various test sites, i.e. coastal, agricultural, forest, snow/artic areas and deserts.

## Objectives

- To better understand uncertainties and issues on L8 and S2 AC products
- To propose further improvements of the future AC schemes

\* 1<sup>st</sup> Workshop in June 21<sup>st</sup>-22<sup>nd</sup> @ University of Maryland (by invitation): to elaborate concepts, protocols and guidelines for the inter-comparison and validation of SR products

Program (with first suggestions) will be provide April 30<sup>th</sup> (available on the web site for eventual end users feedbacks)

\* 2<sup>nd</sup> workshop in April 2017 (open)

<https://earth.esa.int/web/sppa/meetings-workshops/acix>



# Conclusions

- Surface reflectance (SR) algorithm is mature and pathway toward validation and automated QA is clearly identified.
- Algorithm is generic and tied to documented validated radiative transfer code so the accuracy is traceable enabling error budget.
- The use of BRDF correction enables easy cross-comparison of different sensors (MODIS, VIIRS, AVHRR, Landsat, Sentinel 2, Sentinel 3...)
- Preliminary Sentinel 2 surface reflectance validation shows good performance and will be validated over AERONET (about ~500 scenes shortly)